Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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PATENT APPLICATION TRANSMITTAL Attorney Docket No. PUSA030763 (1) First Inventor Chih-Hsin Chueh Title BREAKABLE SYRINGE

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

| Attorney Docket No. | | Vo. | PUSA030763 (15749/416) | | |
|------------------------|-------------------|--------|------------------------|--|--|
| First Inventor Ch | | Chih- | n-Hsin Chueh | | |
| Title | BREAKABLE SYRINGE | | | | |
| Express Mail Label No. | | el No. | EV 306108220 US | | |

| APPLICATION ELEMENTS | | | | Assistant Commissioner for Patents ADDRESS TO: Box Patent Application | | | | |
|--|--|--|--------------------------|--|---|-------|------------|------------------------|
| See MPEP chapter 600 concerning utility patent application contents. | | | | Washington, DC 20231 | | | | |
| 2. 🛛 A | Fee Transmittal Submit an original an Applicant claims See 37 CFR 1.2 | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | | | | | | |
| - (# - - - | Specification [Total Pages 11] (preferred arrangement set forth below) Descriptive title of the Invention Cross References to Related Applications Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, or a computer program listing appendix Background of the Invention Brief Summary of the Invention Brief Description of the Drawings (if filed) Detailed Description Claim(s) | | | a. | | | | |
| | | | | ACCOMPANYING APPLICATIONS PARTS | | | | |
| - - - | | | | 9. 🗌 10. 🔲 | 10. 37 C.F.R.§3.73(b) Statement (when there is an assignee) Power of Attorney | | | |
| - Abstract of the Disclosure | | | | 11. 🔲 | English Tra | ansla | tion Docu | ment (if applicable) |
| 4. Drawing(s) (35 U.S.C.113) [Total Sheets 5] 5. Oath or Declaration [Total Pages 2] | | | | 12. 🗌 | Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations | | | |
| a. Newly executed (original or copy) | | | | 13. 🔲 | 13. Preliminary Amendment | | | |
| | • | ior application (37 | - | 14. 🛛 | | | | |
| | | • • | Box 18 completed) | (Should be specifically itemized) | | | | |
| i. 🗆 | DELETION | OF INVENTOR(| S) | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | |
| | | nt attached deleting i | | 16. Request and Certification under 35 U.S.C. 122 | | | | |
| named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | | | | (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | | | | |
| 6. Application Data Sheet. See 37 CFR 1.76 | | | | 17. Other: | | | | |
| 18. If a CON | TINUING APPLIC | CATION, check appr | ropriate box, and supply | the requisit | e information | belo | w and in a | preliminary amendment, |
| | 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: | | | | | | | |
| | ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:/ | | | | | | | |
| Prior application information: Examiner Group / Art Unit: | | | | | | | | |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | |
| 17. CORRESPONDENCE ADDRESS | | | | | | | | |
| ☐ Customer Number or Bar Code Label (Insert Customer No. or Atta | | | | ach bar code label here) or 🗵 Correspondence address below | | | | |
| Alama | Alan D. Kamrath | | | | | | | |
| Name | Rider, Benne | tt, Egan & Arundel | , LLP | | | | | |
| Address | 333 South Seventh Street, Suite 2000 | | | | | | | |
| City | Minneapolis State | | | Minnesota | | Zip (| Code | 55402 |
| Country | ountry USA | | Telephone | (612) 340-8925 | | | Fax | (612) 340-7900 |
| Name (Print/Type) Alan D. Kan | | Alan D. Kamrath | | Registration No. (Attorne | | | | 28,227 |
| Signature | | Ill | a Komi | edt | | | Date | August 29, 2003 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

August 29, 2003

Date

Complete if Known

PTO/SB/17 (10-01)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| FEE IRANSIVIIIIAL | Application Number Filing Date | | August 29, 2003 | | | |
|---|---|-----------------|-----------------|---------------|--|---------------|
| for FY 2002 | | | | | | |
| | First Named Inventor | | Chih-l | | | |
| Patent fees are subject to annual revision. | Examiner Name | | | i | | |
| 1 diom loss die saajaste sames van | | | | | | |
| | Group | / Art U | nit | - | | \rightarrow |
| TOTAL AMOUNT OF PAYMENT (\$) 375 | Attorne | ey Doc | ket No. | PUSA | 030763 (15749-416) | <u>ノ</u> |
| METHOD OF PAYMENT (check one) | | | | FEE C | ALCULATION (continued) | |
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | 3. ADD | ITIONA Large | AL FEES | Small | | |
| 1. indicated fees and credit any over payments to: | | Entity | | Entity | 5, | ee |
| Deposit | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Es- Beceriation | aid |
| Account 501188 | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| Number | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee | |
| Deposit | l | | | | or cover sheet. | |
| Account Rider, Bennett, Egan & Arundel, LLP | 139 | 130 | 139 | 130 | Non-English specification For filing a request for reexamination | |
| Name Charge Any Additional Fee Required | 147 112 | 2,520 920* | 147 112 | 2,520 920* | Requesting publication of SIR prior to | \dashv |
| Under 37 CFR 1.16 and 1.17 | 112 | 920 | 112 | 320 | Examiner action | |
| Applicant claims small entity status. See 37 CFR 1.27 | 113 | 1,840 | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 2. Payment Enclosed: | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| □Check □ Credit card □ Money □ Other Order | 116 | 410 | 216 | 205 | Extension for reply within second month | |
| FEE CALCULATION | 117 | 930 | 217 | 465 | Extension for reply within third month | |
| BASIC FILING FEE | 118 | 1,450 | 218 | 725 | Extension for reply within fourth month | _ |
| Large Entity Small Entity | 128 | 1,970 | | 985 | Extension for reply within fifth month | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid | 119 | 320 | 219 | 160 | Notice of Appeal | - |
| COURT (4) | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 101 750 201 375 Utility filing fee 375 106 330 206 165 Design filing fee | 121 | 280 | 221 | 140 | Request for oral hearing | |
| 107 520 207 260 Plant filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 108 750 208 375 Reissue filing fee | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 114 160 214 80 Provisional filling fee | 141 | 1,300 | 241 | 650 | Petition to revive – unintentional | |
| (0) 075 | 142 | 1,300 | 242 | 650 | Utility issue fee (or reissue) | |
| SUBTOTAL (1) (\$) 375 | 143 | 470 | 243 | 235 | Design issue fee | |
| 2. EXTRA CLAIM FEES | 144 | 630 | 244 | 315 | Plant issue fee | _ |
| Extra Fee from Fee | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| Claims below Paid | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| Total Claims | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Claims 1 -3** = 0 X 0 = 0 | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| Dependent | 146 | 750 | 246 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) | 149 | 750 | 249 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 103 18 203 9 Claims in excess of 20 | 179 | 750 | 279 | 375 | Request for Continued Examination (RCE) | |
| 104 280 204 140 Multiple dependent claim, if not paid | 169 | 900 | 169 | 900 | Request for expedited examination | |
| 109 84 209 42 ** Reissue independent claims over original patent | | | | | of a design application | |
| 110 18 210 9 **Reissue claims in excess of 20 and over original patent | Other fee (specify) | | | | | |
| SUBTOTAL (2) (\$) 0 | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) | | | | | |
| **or number previously paid, if greater; For Reissues, see above | _ | | | | | |
| | | | | | Complete (if applicable) | |
| SUBMITTED BY | | | | | | |
| Name (Print/Type) Alan D. Kamrath Registration No. Attorn | ey/Agent |) [: | 28,227 | | Telephone 612-340-8925 | |

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Signature

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